

**TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Shinichi HIRATA

RECEPTION METHOD, RECEPTION DEVICE, TRANSMISSION METHOD,  
TRANSMISSION DEVICE, TRANSMISSION/RECEPTION METHOD,  
TRANSMISSION/RECEPTION DEVICE

Assignee Address: 6-7-35 Kitashinagawa  
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**APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

**Fee Transmittal Form (e.g. PTO/SB/17)**  
(Submit an original and a duplicate for fee processing)

7. ☒ Assignment Recorded at Reel/Frame: 9514/0199
8. ☒ Application Data Sheet. See 37 CFR 1.76
9. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449/892 ☒ Copies of IDS Citations (1)
12. ☒ Preliminary Amendment
13. ☒ White Advance Serial No. Postcard
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Applicant claims small entity status.  
See 37 CFR 1.27
16. ☒ Other: Request for Priority

2. ■ Specification Total Sheets 32

3. ☒ Formal Drawing(s)  
(35 U.S.C. 113) Total Sheets **10**

4. ☒ Oath or Declaration Total Pages 3

a. ☐ Newly executed (original or copy)

b. ☒ Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation / divisional w/ box 17 completed)

i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).

☐ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)

☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a. ☐ Computer Readable Form (CRF)

**b. Specification or Sequence Listing on:**

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ Paper

c. ☐ Statements verifying identity of above copies

17 ☒ If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation      ☒ Divisional      ☐ Continuation-in-part (CIP)      of prior application no.: 09/039,922 filed on March 16, 1998.

Prior application information: Examiner: REUBEN BROWN

Group Art Unit: 2711

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

☒ This application is a ☐ Continuation ☒ Division ☐ Continuation-in-part (CIP)  
 of application Serial No. 09/039,922 Filed on March 16, 1998, allowed

☐ Which was published in English

☐ Which was not published in English

☐ This application claims priority of provisional application Serial No. \_\_\_\_\_ Filed \_\_\_\_\_


## 19. CORRESPONDENCE ADDRESS



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Name:	Surinder Sachar	Registration No.:	34,423

Docket No. 217537US-6 DIV

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTOR(S) Shinichi HIRATA

SERIAL NO: New Application

FILING DATE: Herewith

FOR: RECEPTION METHOD, RECEPTION DEVICE, TRANSMISSION METHOD, TRANSMISSION DEVICE,  
TRANSMISSION/RECEPTION METHOD, TRANSMISSION/RECEPTION DEVICE

**FEE TRANSMITTAL**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	35 - 20 =	15	× \$18 =	\$270.00
INDEPENDENT CLAIMS	6 - 3 =	3	× \$84 =	\$252.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$280 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$740.00
TOTAL OF ABOVE CALCULATIONS				\$1,262.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
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Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Date: Dec. 28 2001



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